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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.

CASPR-004A

First Inventor or Application Identifier

Michael A. Marino, Jr.

Title

SYSTEM AND METHOD FOR MEASURING RF ...

Express Mail Label No.

EL513454202US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ \* Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 30]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
4. Oath or Declaration [Total Pages 7]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
  - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

\* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY  
FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT  
IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement of Power of Attorney  
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 [Copies of IDS Citations]
11. ☐ Preliminary Amendment
12. ☐ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☒ \* Small Entity Statement(s) [Statement filed in prior application, Status still proper and desired (PTO/SB/09-12)]
14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☐ Other: .....

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

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Practitioner's Docket No. CASPR-004A
**PATENT**

☒ Applicant Michael A. Marino, Jr. ☐ Patentee \_\_\_\_\_  
☒ Application No. Unknown ☐ Patent No. \_\_\_\_\_  
☒ Filed on Herewith ☐ Issued on \_\_\_\_\_

Title: SYSTEM AND METHOD OF MEASURING RF RADIATED EMISSIONS IN THE PRESENCE OF STRONG AMBIENT SIGNALS

**STATEMENT CLAIMING SMALL ENTITY STATUS**  
**(37 CFR 1.9(f) and 1.27(c))—SMALL BUSINESS CONCERN**

I hereby state that I am

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

Name of Small Business Concern CASSPER INSTRUMENTATION SYSTEMS, INC.  
 Address of Small Business Concern 21084 Bake Pkwy., Ste. 108,  
Lake Forest, CA 92630

I hereby state that the above identified small business concern qualifies as a small business concern, as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office under Sections 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third-party or parties controls or has the power to control both.

I hereby state that rights under contract or law have been conveyed to, and remain with, the small business concern identified above, with regard to the invention described in

- ☒ the specification filed herewith, with title as listed above.  
☐ the application identified above.  
☐ the patent identified above.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c), if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*\*NOTE: Separate statements are required from each named person, concern or organization having rights to the invention as to their status as small entities. (37 CFR 1.27)*

Each such person, concern or organization having any rights in the invention is listed below:

- ☒ No such person, concern, or organization exists.  
☐ Each such person, concern or organization is listed below.

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I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small business entity is no longer appropriate. (37 CFR 1.28(b))

(check the following item, if desired)

NOTE: The following verification statement need not be made in accordance with the rules published on Oct. 10, 1997, 62 Fed. Reg. 52,131, effective Dec. 1, 1997.

NOTE: "The presentation to the Office (whether by signing, filing, submitting, or later advocating) of any paper by a party, whether a practitioner or non-practitioner, constitutes a certification under § 10.18(b) of this chapter. Violations of § 10.18(b)(2) of this chapter by a party, whether a practitioner or non-practitioner, may result in the imposition of sanctions under § 10.18(c) of this chapter. Any practitioner violating § 10.18(b) may also be subject to disciplinary action. See §§ 10.18(d) and 10.23(c)(15)." 37 C.F.R. § 1.4(d)(2).

☒ I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

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SIGNATURE

Michael A. Marino, Jr.

Date

Feb 2, 2000

(Small Entity—Small Business [7-4]—page 2 of 2)

Case No.: CASPR-004A

TITLE OF THE INVENTION

- 5     SYSTEM AND METHOD FOR MEASURING RF RADIATED EMISSIONS IN  
THE PRESENCE OF STRONG AMBIENT SIGNALS

CROSS-REFERENCE TO RELATED APPLICATIONS

- 10     The present invention claims priority to Provisional  
Patent Application Serial No. 60/119,157 entitled VIRTUAL  
CHAMBER MEASURING RF RADIATED EMISSIONS IN THE PRESENCE OF  
STRONG AMBIENT SIGNALS filed on February 8, 1999, the  
disclosure of which is incorporated expressly herein by  
reference.

- 15     STATEMENT RE:   FEDERALLY SPONSORED RESEARCH/DEVELOPMENT  
(Not Applicable)

BACKGROUND OF THE INVENTION

- 20     The present invention generally relates to the area of  
electronic test equipment. More specifically, the present  
invention pertains to devices that are capable of measuring  
electromagnetic or radio frequency (RF) radiated emissions  
of electronic equipment in the presence of strong ambient  
25     signals.

- Electronic equipment radiates RF energy (i.e., RF  
radiated emissions) during normal operation that can  
interfere with nearby electronics. The trend toward small,  
low power, high density electronics has made modern  
30     electronic devices increasingly more susceptible to such RF  
interference. For example, turning on a microwave oven  
near a personal computer may cause the personal computer to  
lock up, or radio frequency noise from an automobile  
ignition may introduce static in a nearby AM radio  
35     receiver.

To ensure that electronic devices do not produce harmful amounts of radiated RF emissions, government regulators, such as the U.S. Federal Communications Commission (FCC) and the European Union EC Directives, 5 impose radiated emission and immunity regulations on equipment manufactures. Such regulations include ensuring that radiated RF emissions from electronic equipment are below certification levels at specified distances and over specified frequency ranges. Additionally, electronic 10 equipment must be able to function reliably when exposed to certification RF field levels over specified frequency ranges.

A number of commercially available instruments, such as spectrum analyzers and receivers, are used to measure RF 15 emissions. These instruments can be programmed to automatically scan the frequency band of interest, record the detected RF field strength, and compare such RF field strengths with the appropriate certification level. Unfortunately the RF radiation emitted by the electronic 20 device under test can be weaker than ambient field strengths emitted from local transmitters (e.g., signals from TV and radio stations). Commercially available spectrum analyzers and receivers typically cannot differentiate between the RF radiation from the electronic 25 device under test and the much stronger ambient signals. Additionally, the stronger ambient signals will mask the emission signals from the electronic device under test if they both occupy the same frequency.

Several test methods have been developed to isolate 30 and measure RF radiated emissions from electronic devices under test. For example, RF anechoic chamber test methods, remote open area test site test methods, urban open area test site test methods, device power cycling test methods, and ambient cancellation using signal subtraction test 35 methods are commonly used for measuring the RF radiated

emissions from electronic devices under test.

The RF anechoic chamber test method measures low level radiated emissions from electronic devices inside a large RF anechoic chamber. The outer walls of the chamber form  
5 a shielded room (i.e., metal enclosure) in order to block out the undesired external ambient signals. The inside surfaces of the shielded room are covered with anechoic material that absorbs RF energy. The anechoic material serves to absorb the radiated emissions from the electronic  
10 device so that measured signals are not distorted by reflections. Therefore, the radiated emissions measured inside the anechoic chamber will be equivalent to measuring the same emissions outdoors when little or no ambient signals are present. The radiated emissions from the  
15 electronic device inside the anechoic chamber are measured using standard field sensors with spectrum analyzers or receivers.

The RF anechoic chamber test method provides a highly accurate and reliable test technique since the RF anechoic  
20 chamber suppresses ambient signals by as much as 60 to 100 dB. Furthermore, standard test equipment may be used to measure the ambient signals. Accordingly, the RF anechoic chamber test method is widely used to perform radiated emission certification testing. However, the RF anechoic  
25 chamber test method is disfavored due to the necessity to procure and maintain expensive RF anechoic chambers. Specifically, a three meter RF anechoic chamber may cost several hundred thousands of dollars and a ten meter chamber may cost well over a million dollars. Only test  
30 laboratories and large manufactures can afford to purchase such chambers in order to use the RF anechoic chamber test method.

As previously mentioned, another test method that is used is the remote open area test site test method wherein  
35 an outdoor facility is built in a remote location which is

far-removed from sources of ambient signals. The ambient signal strengths at these remote locations are well below the radiated emissions certification levels of the electronic device under test. The radiated emissions of the electronic device can then be measured using standard measurement techniques. The remote open area test site test method produces sufficiently accurate measurements. The ambient signals at the remote test sites are generally 20 to 60 dB lower than those in urban environments. While this test method is not as sensitive as the anechoic chamber test method, it does accurately measure radiated emissions which are near or above certification levels. Furthermore, the remote open area test site test method is widely used to perform radiated emissions certification testing because such method uses standard test equipment. However, the remote open area test site test method is disfavored due to the construction and maintenance costs of the remote outdoor test facility. While the initial cost of a remote test site can be significantly lower than purchasing an anechoic chamber, the maintenance and operational cost of such remote outdoor test facility can be very high. An additional problem is that as cities expand, these remote areas are more difficult to locate. It is very difficult to find a location where there is no TV, radio, cell phones, police radios, and ham radios (to name a few). In areas where this is possible, costs associated with bringing personnel and equipment to and from this location is high.

The urban open test site test method is used where access to an anechoic chamber or a remote test facility are not possible. This test method measures radiated emissions of an electronic device in an urban setting. In order to practice the urban open test site test method, an experienced operator with highly sensitive spectrum analyzers or receivers must be present. The experienced

operator initially scans the ambient environment to determine the frequencies and field strengths of ambient signals. Typically, radiated emissions deviating from these ambient signals can be readily identified by the operator. In cases where a frequency of a radiated emission is near the frequency of an ambient signal, the experienced operator carefully narrows the resolution bandwidth of the spectrum analyzer in order to separate the two signals. If the frequency of the radiated emission is very close to or at the frequency of the ambient emission, then the urban open test site test method fails to separate and detect the radiated emission.

The urban open test site test method can be conducted in urban outdoor environments. However, this method has limited accuracy for emission frequencies which are not clearly distinct from ambient frequencies. Furthermore, this test method requires highly trained personnel as well as the use of highly sensitive spectrum analyzers. This method cannot be used to certify electronic devices due to the limited accuracy thereof. A major problem with this method is that the bandwidth required to separate these signals is not at the bandwidth specified by the regulatory agencies. Therefore, this measurement technique cannot be used to measure the signal's strength. It can only be used to identify the signals frequency. Another problem with this method is that it can only be used when the emissions signal is not changing frequencies and it cannot be used when the emissions and ambient signals are very close in frequency. However, this method can be used for limited pre-compliance testing and trouble shooting, such as during the process of searching, monitoring, and tracking radiated emission frequencies.

The device power cycling test method requires measuring the ambient signals with the electronic device being off. The ambient signals' power levels and



frequencies are recorded. The electronic device is now turned on and another set of measurements is made which include the electronic device emissions and ambient signals. The power level and frequencies are recorded.

5 The two recorded measurements are then overlaid or subtracted from each other with the assumption that the result consists of only measurements from the electronic device's emissions. A major problem with this method is that it does not work if the electronic device's emissions

10 are at the same frequencies, but lower, than the ambient signals. Also, this method does not work if any ambient signals change frequency, which most do. FM radio signals, by definition, are changing frequencies. Another problem is that ambient signals, which change levels or turn on and

15 off, can be incorrectly identified as the device's emissions. A simple example is having a nearby cellular phone off during the ambient only measurements and then having the phone turned on during the device emissions measurements. In this example, the cell phone frequencies

20 would be incorrectly identified as frequencies coming from the electronic device.

The ambient cancellation using subtraction test method attempts to electronically cancel the ambient signals by simultaneously recording RF field measurements at two

25 locations. The first location is near the electronic device being tested and the second location is far therefrom. The second location is sufficiently far away so that it does not detect the weak radiated emissions from the electronic device under test. The much stronger

30 ambient signals are simultaneously recorded at both locations, while the radiated emissions from the electronic device are detected only at the first location. This test method attempts to cancel the undesired ambient signals and isolate the residual radiated emissions from the electronic

35 device by aligning, scaling, and subtracting the signals

recorded at both locations.

This test method may be conducted in urban environments. However, it cannot account for differences in two simultaneously received ambient signals caused by multi-path distortion. Accordingly, the ambient signal cancellation is very unreliable. Furthermore, this test method does not take into account the frequency drift and jitter between the two recording receivers thereby making signal alignment very difficult and time consuming. Manual adjustment of alignment, scale, and subtraction for each ambient signal is therefore required at each and every ambient frequency with this test method. This manual alignment process is very time consuming and error prone. The ambient cancellation using subtraction test method additionally fails when the much stronger ambient signals are at the same frequency as the desired radiated emissions of the electronic device since most recording instruments do not have the adequate resolution and sensitivity to isolate the signal of interest by using simple subtraction. Therefore, this test method is not used for compliance or pre-compliance testing because it is highly unreliable.

The present invention addresses the above-mentioned deficiencies in the prior art test methods by electronically sensing and suppressing undesired ambient signals, even when those ambient signals are at the same frequency and stronger than the radiated emissions from the equipment under test. Accordingly, the present invention can yield the performance of a standard spectrum analyzer used inside an enclosed RF anechoic chamber. The present invention does not require expensive RF anechoic chambers, or expensive remote test sites. Additionally, the present invention is automated and does not require highly trained personnel nor the use of highly sensitive spectrum analyzers, nor does it require manual alignment of signals. The present invention accounts for differences in

simultaneously received ambient signals caused by multipath distortion thereby resulting in reliable ambient signal suppression. The present invention accounts for ambient signals that change frequency and/or levels, as well as  
5 ambient signals that turn on and off. Furthermore, the present invention takes into account the frequency drift and jitter between receivers thereby creating optimal ambient suppression performance. Accordingly, the present invention recovers radiated emissions from an electronic  
10 device when such emissions are hidden by much stronger ambient signals. The present invention provides an improvement over the prior art test methods because the present invention provides for a method which can accurately suppress ambient RF signals thereby resulting in  
15 measurement of the radiated emissions from the electronic device being tested.

#### BRIEF SUMMARY OF THE INVENTION

A system for suppressing ambient signals from a  
20 measurement containing both radiated emissions of a electronic device and ambient signals. The system comprises a first receiver operative to receive the ambient signals and the radiated emissions from the electronic device. The first receiver is operative to demodulate and  
25 digitize the ambient signals and the radiated emissions. The system further comprises a second receiver operative to receive primarily the ambient signals wherein the second receiver is time, frequency, and phase synchronized to the first receiver and operative to demodulate and digitize the  
30 ambient signals. A central computer is in electrical communication with the first and second receivers and is operative to store and process the ambient signals and the radiated emissions from respective ones of the first and second receivers. Accordingly, the central computer is  
35 configured as an adaptive filter operative to suppress the

ambient signals correlated between the first and second receivers' measurements. Therefore, the radiated emissions from the electronic device are extracted thereby.

In accordance with a preferred embodiment of the present invention, the system further comprises a first sensor in electrical communication with the first receiver and operative to receive the ambient signals and the radiated emissions. Furthermore, a second sensor is in electrical communication with the second receiver and is operative to receive primarily the ambient signals. The first and second sensors may be antennas which are physically distributed from one another. Accordingly, a first telemetry link may be provided between the first sensor and the first receiver, and a second telemetry link may be provided between the second sensor and the second receiver. The first and second telemetry links may be an electrically conducting cable link, an optical fiber link, and/or an RF telemetry link.

As will be recognized to those of ordinary skill in the art, the first receiver may be co-located with the first sensor and the second receiver may be co-located with the second sensor. Alternatively, the first and second receivers may be co-located within a single housing. In either instance, the system may further comprise a clock operative to generate a clock signal that synchronizes the first and second receivers. Accordingly, an optical link between the first and second receivers may be provided in order to transfer the clock signal therebetween. Alternatively, an electrically conducting cable may be linked between the first and second receivers in order to transfer the clock signal therebetween.

It will also be recognized that in order to synchronize the first and second receivers, a first clock may be in electrical communication with the first receiver and a second clock may be in electrical communication with the

second receiver. Therefore, the first clock and second clock will be synchronized in order to provide synchronization between the first and second receivers. Instead of using a clock, an external RF reference signal  
5 may be operative to synchronize the first and second receivers.

In accordance with the preferred embodiment of the present invention, the central computer may further comprise a digital signal processor operative to facilitate  
10 calculations of the adaptive filter. The adaptive filter may be configured to operate by a Stochastic Gradient method or a Gradient Descent method. Additionally, the adaptive filter may be configured to operate by a Least Squares method or a neural network adaption method. As  
15 will be recognized, in the preferred embodiment, the adaptive filter structure may be a Finite Impulse Response Filter or a Infinite Impulse Response Filter or a combination of both filters.

Further in accordance with the present invention,  
20 there is also provided a method of suppressing ambient signals from a signal that also contains radiated emissions of an electronic device. The method comprises detecting the ambient signals and the radiated emissions with a first receiver. The first receiver then demodulates and  
25 digitizes the ambient signals and the radiated emissions. Next, a second receiver detects primarily ambient signals and demodulates and digitizes the same. Finally, the ambient signals correlated between the first and second receivers are suppressed with an adaptive filter.  
30 Typically, the adaptive filter is implemented on a computer and the step of suppressing the ambient signals is accomplished with the adaptive filter of the computer.

#### BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWING

35 These as well as other features of the present

invention will become more apparent upon reference to the drawings wherein:

Figure 1 is a schematic representation of the present invention used for testing radiated emissions;

5        Figure 2 is block level diagram of the suppression signal structure of the present invention;

Figure 3 is a block level diagram of the adaptive filter topology used in the present invention;

10        Figure 4 is a block level diagram showing the adaptive filter topology of Figure 3 and including variable names;

Figure 5 is a block level diagram of a three tap finite impulse response filter of the present invention; and

15        Figure 6 is a block level diagram showing multipath signal input and output for the present invention.

#### DETAILED DESCRIPTION OF THE INVENTION

20        Referring now to the drawings wherein the showings are for purposes of illustrating a preferred embodiment of the present invention only, and not for purposes of limiting the same, Figure 1 shows an ambient suppression test configuration 10 for radiated emissions testing. The test  
25        configuration 10 comprises a first receiver 12 and a second receiver 14. The first receiver 12 is in electrical communication with a first field sensor 16. Similarly, the second receiver 14 is in electrical communication with a second field sensor 18. As seen in Figure 1, the first  
30        field sensor 16 and the second field sensor 18 are both disposed in a location whereat they may receive ambient RF signals 19. As will be recognized by those of ordinary skill in the art, the ambient RF signals 19 are typically RF electromagnetic radiation emitted by local transmitters.  
35        Such ambient RF signals 19 may be produced by local

television and radio stations, cellular phones, citizens band radios, ham radios, civil radios (e.g., police, ambulance, fire), and aircraft radios to name a few.

5 The second field sensor 18 is located near an electronic device 20 that is radiating RF device emissions 21 to be measured. The electronic device 20 will emit electromagnetic RF emissions 21 that are to be measured. Accordingly, the second field sensor 18 will receive both ambient RF signals 19 as well as device emissions 21 from  
10 the electronic device 20. However, the first field sensor 16 is located in a position whereat it will receive primarily ambient RF signals 19, as seen in Figure 1. The preferred embodiment is to have the first field sensor 16 be at least ten times further away from the device 20, than  
15 the distance from the second field sensor 18 to the device 20. As an example, if the distance from the second field sensor 18 to the device 20 is 3 meters, then the distance from the first field sensor 16 to the device 20 should be at least 30 meters. This "ten times" rule allows the first  
20 field sensor 16 to detect the device emissions 21 at a greater than or equal to 20 dB reduction in power level than the second field sensor 18. This minimum 20 dB power level reduction helps to ensure that the first field sensor 16 receives primarily ambient RF signals 19.

25 The first and second field sensors 16, 18 may be in electrical communication with respective ones of the first and second receivers 12, 14 through a telemetry link. Accordingly, a first telemetry link 46 will be provided between the first field sensor 16 and the first receiver  
30 12. Correspondingly, a second telemetry link 48 will be provided between the second field sensor 18 and the second receiver 14. The first and second telemetry links 46, 48 may be a electrically conducting cable link, an optical fiber link, or an RF telemetry link.

35 Both the first receiver 12 and the second receiver 14

are in electrical communication with a computer 22. The computer 22 is configured to remove the ambient RF signals 19 from the signals received by the second field sensor 18. Additionally, the computer 22 is capable of controlling the  
5 operation of the first and second receivers 12, 14 and capable of storing and processing the digitized data recorded therefrom. In the preferred embodiment, the computer 22 is a 450 MHz Pentium machine running WINDOWS NT 4.0 with a PCI board that contains two digital signal  
10 processors and two programmable digital down converters added to speed numerical data processing.

In the preferred embodiment, the first and second receivers 12, 14 are customized receiver systems which are time, frequency, and phase synchronized. The first and  
15 second receivers 12, 14 demodulate and digitize the output of the first and second field sensors 16, 18 and transmit such output to the computer 22. Preferably, the first and second receivers 12, 14 are CASSPER® receivers manufactured by CASSPER® Instrumentation Systems of Lake  
20 Forest, California and are inherently time, frequency, and phase synchronized. For maximum performance, the first and second receivers 12, 14 may be co-located in a single housing and share the same local oscillator clock.

The local oscillator clock may generate a clock signal  
25 which is transferred between the first and second receivers 12, 14 through either an optical link (i.e., optical fiber) or a electrically conducting cable. Alternatively, the first and second receivers may be synchronized via an RF telemetry link. It is not necessary for the first and  
30 second receivers 12, 14 to be co-located within a single housing, but they may be co-located with respective first and second field sensors 16, 18. In addition to using a single clock to synchronize the first and second receivers 12, 14, each receiver 12, 14 may comprise its own  
35 respective clock to provide synchronization therebetween.



Therefore, a first clock will be in electrical communication with the first receiver 12 and a second clock will be in electrical communication with a second receiver 14. The first and second clocks will be synchronized  
5 therebetween in order to provide synchronization for the first and second receivers 12, 14.

In order to suppress the ambient signals 19, the computer 22 is configured as an adaptive filter signal processing system. Accordingly, the computer 22 receives  
10 and records the signals detected by the first and second field sensors 16, 18 and respective ones of the first and second receivers 12, 14. The computer 22 derives the device emissions 21 radiated from the electronic device 20.

Referring to Figure 2, a block diagram of the ambient  
15 suppression signal structure shows the signal relationships between the first and second receivers 12, 14 and received ambient signals (a) and device emissions (e) from the electronic device 20. In order to properly measure the emissions (e) from the electronic device 20, some  
20 underlying assumptions must be made. It is assumed that ambient signals (a) are measured by the first and second receivers 12, 14 and such emissions are correlated with each other. Additionally, the emissions (e) from the electronic device 20 are primarily measured by a single  
25 receiver (i.e., second receiver 14). Furthermore, the emissions (e) from the electronic device 20 are not correlated with the ambient signals (a). With these basic underlying assumptions, Figure 2 shows the first receiver 12 measuring ambient signals (a) through some unknown  
30 transfer function  $H_1$  without emissions (e) from the electronic device 20. The second receiver 14 measures ambient signals (a) through some unknown transfer function  $H_2$  with the emissions e of the electronic device 20. Accordingly, the first receiver 12 receives a signal R1,  
35 while the second receiver 14 receives a signal R2. A

matrix format for the signal relationships between the first and second receivers 12, 14 is shown below as Equation 1:

$$\begin{bmatrix} R_1 \\ R_2 \end{bmatrix} = \begin{bmatrix} H_1 0 \\ H_2 1 \end{bmatrix} \begin{bmatrix} a \\ e \end{bmatrix} \quad (1)$$

5

As shown in Equation 1, the upper right matrix term is 0 which indicates that the first field sensor does not measure the device missions. As stated earlier, the preferred field sensor configuration gives a minimum of 20 dB power level reduction of the device emissions at the first field sensor as compared to the second field sensor. This implies that the upper right matrix term of Equation 1 is no larger than 1/100.

10

Referring to Figure 3, an adaptive filter structure 24 used for suppression of ambient signals (a) is shown. The adaptive filter structure 24 includes a finite impulse response filter (FIR) 26. The output  $R_1$  from the first receiver 12 is used as the input to the FIR filter 26. The adaptive filter structure 24 further includes a half filter length delay 28. The output  $R_2$  of the second receiver 14 is used as the input for the half filter length delay 28. Accordingly, the output  $R_2$  from the second receiver 14 will be delayed by half of the delay for the FIR filter 26. As shown in Figure 3, the output from the FIR filter 26 is subtracted from the delayed output from the second receiver 14. Therefore, a residual signal (r) is produced. The residual (r) is used by a weight control mechanism 30 to adjust the characteristics of the FIR filter 26. An

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adaptive algorithm for the adaptive filter structure 24 determines the characteristic of the weight control mechanism 30, as will be further explained below.

The adaptive filter structure 24 uses a half filter  
5 length delay 28 because the phase relationship between the first and second receivers 12, 14 is not known. The half filter length delay 28 delays the signal from the second receiver 14 thereby allowing the FIR filter 26 to either phase retard or phase advance the relationship between the  
10 signals from the first and second receivers 12, 14. This ability is critical because the direction of arrival of the ambient signals (a) is not known, which implies that the ambient signals (a) could sometimes be measured first by the first receiver 12 or first by the second receiver 14.  
15 Cancellation of ambient signals (a) for both scenarios require both phase retarding and phase advancement of the relationship of the signals from the first receiver 12 and the second receiver 14.

As previously mentioned, an adaptive algorithm  
20 determines the characteristics of the weight control mechanism 30. The two most common classes of adaptive filter algorithms are Stochastic Gradient based algorithms and Least-square based algorithms. The purpose of the weight control mechanism 30 is to adjust the FIR filter 26  
25 in such a way as to minimize the residual signal (r). Stochastic gradient algorithms will minimize the mean-square of the residual signal (r). Least-square algorithms will minimize the square of the residual signal (r). Regardless of the algorithm used, the weight control  
30 mechanism 30 will attempt to remove (i.e., suppress) the signals that are correlated between the first and second receivers 12, 14. This results in the residual signal (r) being comprised of those signals that are uncorrelated between the first and second receivers 12, 14. As  
35 previously mentioned, one of the underlying assumptions is

that ambient signals (a) are correlated between the first and second receivers, 12, 14 and that device emissions (e) from the electronic device 20 are uncorrelated. These assumptions applied to the cancellation process result in  
5 the residual signal (r) being equal to the emissions (e) from the electronic device 20.

Referring to Figure 4, the adaptive filter structure 24, described by Figure 3, is shown using the signal structure described by Figure 2. The half filter length  
10 delay 28 can be ignored in Figure 4 because its effect on the system is compensated by the FIR filter transfer function,  $H_f$ .  $H_2a+e$  is equal to the output of the second receiver 14, including ambient signals (a) and device emissions (e) from the electronic device 20.  $H_1a$  is equal  
15 to the output of the first receiver 12.  $H_1a$  is inputted into the FIR filter having a transfer function  $H_f$ .

Accordingly, the mathematical formulation for the adaptive filter structure 24, as shown in Figure 4, is as follows:

$$r = (H_2a+e) - (H_fH_1a) \quad (2)$$

20

Rearranging the terms gives:

$$r = (H_2 - H_fH_1) \cdot a + e \quad (3)$$

Therefore the residual (r) is comprised of two signal types:

$$r = \text{correlated signals} + \text{uncorrelated signals} \quad (4)$$

25

Where

$$\text{Correlated signals} = (H_2 - H_fH_1)a$$

$$\text{Uncorrelated signals} = e$$

The weight control mechanism 30 will remove the correlated signals by adjusting  $H_f$  in the following manner:

$$\begin{aligned} \text{desire:} & \quad (H_2 - H_f H_1) \cdot a \rightarrow 0 \\ \text{achieved by:} & \quad H_f \rightarrow H_2 H_1^{-1} \end{aligned} \quad (5)$$

5 This results in:

$$r \rightarrow e \quad (6)$$

Therefore, the ambient signals are cancelled and the emissions (e) from the electronic device 20 are correctly extracted.

10 Referring to Figure 5, a block diagram of a three tap FIR filter 32 is shown. The three tap FIR filter 32 is an example of the finite impulse response filter 26 previously mentioned. The three tap FIR filter 32 consists of a delay  $T_s$  34, a multiplier 36, and an adder 38. Each delay  $T_s$  34  
15 is the time delay associated with the sampling period  $T_f$  of the hardware digitizer (e.g., analog-to-digital converter). As seen in Figure 5, the filter coefficients,  $h = [h_0 h_1 h_2]^T$  define the behavior of the three tap FIR filter 32. The coefficients can be complex or real valued. Therefore, the  
20 defining equation for an N tap FIR filter is:

$$Y[n] = \sum_{k=0}^{N-1} h_k^* x[n-k] \quad (7)$$

where:  $x[n]$  = sampled input sequence  
 $y[n]$  = filtered output sequence

In general, each coefficient can be complex so  $h_k$  can be described in terms of an amplitude,  $b_k$ , and a phase term,

$\theta_k$  as:

$$h_k = b_k e^{j\theta_k} \quad (8)$$

Equations 7 and 8 can be combined to give the three tap FIR filter 32 characteristics in terms of magnitude and phase terms, as shown by the following equation:

$$Y[n] = \sum_{k=0}^{N-1} b_k e^{-j\theta_k} X[n-k] \quad (9)$$

The filter coefficients  $h_k$  do not change for fixed filters and are real-time adjustable for adaptive filters. An FIR filter's characteristics are solely determined by the number of taps  $N$  and the filter coefficient values  $h_k$ .

The use of a finite impulse response filter offers several advantages over other types of filters. These advantages include the FIR filter being stable for finite coefficients. Furthermore, the FIR filter has an inherent time delay which makes it an excellent candidate for transfer functions with delays, such as the present case with multipath signals. Transients for the FIR filter will decay to zero in a finite amount of time.

Additionally, the finite impulse response structure is used in adaptive filters because stability is guaranteed and relatively simple and numerically efficient algorithms exist for adjusting the weight values. Furthermore, performance of the FIR structure is well understood in terms of stability and convergence. The FIR structure is also easy to implement in hardware.

As previously mentioned, the test configuration 10 is ideally suited for scenarios where the ambient signals (a) are received by the first and second receivers 12, 14 through multipaths. Referring to Figure 6, multipath is a

situation wherein electromagnetic energy propagates from one location to another through a collection of N multiple paths. Multipath is a common occurrence when measuring ambient signals (a). It is predominately due to signals reflecting from structures, such as buildings, cars, hillsides, etc. The adaptive filter must produce FIR filter characteristics dependant upon the transfer function from the ambient source to each receiver's antenna. Therefore the FIR filter is required to handle transfer functions with a multipath configuration.

As seen in Figure 6, an example multipath configuration 39 may comprise a first path 40, a second path 42 and a third path 44. Each of the paths 40, 42, 44 can be modeled as having a time delay and a gain factor. The time delay is caused by a difference in propagation length between each path. The gain factor is the amount a given path changes the amplitude of the signal. As an example, the input signal could be a complex sinusoidal signal that is free from multipath, as represented by the following time-domain equation:

$$a(t) = A \cdot e^{j(2\pi f_0 t + \Phi_0)} \quad (10)$$

For one path, the input signal  $a(t)$  is delayed by some amount  $\tau$ , with a gain factor  $b$ . This results in an output:

$$\text{out}(t) = b A \cdot e^{j(2\pi f_0 (t-\tau) + \Phi_0)} \quad (11)$$

25

For a sampled data system, the time delay can be modeled as an integer number  $M$  of sample periods  $T_s$  minus a fractional sample delay  $\Delta\tau$ . This delay model is

represented as:

$$\tau = MT_s - \Delta\tau \quad (12)$$

5         Substituting the delay model from Equation 12 into  
Equation 11 yields an output:

$$\text{out}(t) = bA \cdot e^{j \cdot [2\pi f_o(t - MT_s + \Delta\tau) + \Phi_o]} \quad (13)$$

10         For a sampled data system, time is represented as an  
integer multiple n of the sample period. This is  
represented as:

$$t = nT_s \quad (14)$$

Substituting the sampled time model from Equation 14  
into Equation 13 yields:

15

$$\text{out}(nT_s) = bA \cdot e^{j \cdot [2\pi f_o(nT_s - MT_s + \Delta\tau) + \Phi_o]} \quad (15)$$

Rearranging the terms of Equation 15 yields:

$$\text{out}(nT_s) = be^{j \cdot 2\pi f_o(\Delta\tau)} \cdot Ae^{j \cdot [2\pi f_o T_s(n-M) + \Phi_o]} \quad (16)$$

20

For sampled data systems, the sample period factor is



normally dropped and square brackets are used to denote sampled signals, as opposed to continuous time signals. Accordingly, this yields:

$$\text{out}[n] = be^{j \cdot 2\pi f_o(\Delta\tau)} \cdot Ae^{j \cdot [2\pi f_o T_s(n-M) + \Phi_o]} \quad (17)$$

5 Equation 17 can be alternatively expressed as:

$$\text{out}[n] = be^{j \cdot \theta} \cdot a[n-M] \quad (18)$$

Where:

$$\theta = 2\pi f_o(\Delta\tau) \quad (19)$$

$$a[n-M] = Ae^{j \cdot [2\pi f_o T_s(n-M) + \Phi_o]} \quad (20)$$

10

Equation 18 shows the effect of a one path time delay and gain factor on an ambient signal. For multipath measurements, the measured signal would be a sum of signals that are shown in Equation 18, with each path having its own gain and phase terms.

15

A comparison of the FIR characteristics, as given in Equation 9, to the summation of multipath signals from Equation 18 illustrates the matching of the FIR structure to the multipath model. As shown in Equation 5,  $H_f$  of the adaptive FIR filter converges to  $H_2 H_1^{-1}$  when complete cancellation has occurred. Multipath in the signals received by the second receiver 14 (as seen by  $H_2$ ) does not reduce cancellation performance because the structure of the FIR filter matches the multipath model. However, when

20



CLAIMS

1. A system for suppressing ambient signals from a signal containing both radiated emissions of an electronic device and the ambient signals, the system comprising:

5 a first receiver operative to receive the ambient signals and the radiated emissions from the electronic device, the first receiver being operative to demodulate and digitize the ambient signals and the radiated emissions;

10 a second receiver operative to receive primarily the ambient signals, the second receiver being time and frequency synchronized to the first receiver and operative to demodulate and digitize the ambient signals;

15 a central computer in electrical communication with the first and second receivers, the central computer being operative to store and process the ambient signals and the radiated emissions from respective ones of the first and second receivers;

20 wherein the central computer is configured as an adaptive filter operative to suppress the ambient signals correlated between the first and second receivers in order to extract the radiated emissions of the electronic device.

25 2. The system of Claim 1 further comprising:

a first sensor in electrical communication with the first receiver and operative to receive the ambient signals and the radiated emissions; and

30 a second sensor in electrical communication with the second receiver and operative to receive primarily the ambient signals.

3. The system of Claim 2 wherein the first and second sensors are operative to convert signals into a corresponding voltage.

35 4. The system of Claim 2 wherein the first and

second sensors are operative to convert signals into a corresponding electrical current.

5        5.    The system of Claim 2 wherein the first and second sensors are antennas.

6.    The system of Claim 2 wherein the first and second sensors are physically distributed from one another.

7.    The system of Claim 2 further comprising:

        a first telemetry link between the first sensor and the first receiver; and

10        a second telemetry link between the second sensor and the second receiver.

8.    The system of Claim 7 wherein the first and second telemetry links are chosen from the group consisting of:

15        an electrical conducting cable link;

        an optical fiber link; and

        an RF telemetry link.

9.    The system of Claim 2 wherein the first receiver is co-located with the first sensor and the second receiver is co-located with the second sensor.

20        10.   The system of Claim 2 wherein the first and second receivers are co-located within a single housing.

11.   The system of Claim 1 further comprising a clock operative to generate a clock signal that synchronizes the first and second receivers.

25        12.   The system of Claim 11 further comprising an optical fiber extending between and communicating with the first and second receivers in order to transfer the clock signal therebetween.

30        13.   The system of Claim 11 further comprising an electrically conducting cable extending between and communicating with the first and second receivers in order to transfer the clock signal therebetween.

35        14.   The system of Claim 1 wherein the second receiver comprises a plurality of receivers operative to receive

primarily the ambient signals.

15       15. The system of Claim 1 wherein the first receiver comprises a plurality of receivers operative to receive the ambient signals and the radiated emissions from the electronic device.

16. The system of Claim 1 further comprising:  
          a first clock in electrical communication with the first receiver; and  
          a second clock in electrical communication with  
10       the second receiver;  
          wherein the first clock and the second clock are synchronized in order to synchronize the first and second receivers.

15       17. The system of Claim 1 wherein the first and second receivers are synchronized via an external RF reference signal.

18. The system of Claim 1 wherein the central computer further comprises a digital signal processor operative to facilitate calculations of the adaptive  
20       filter.

19. The system of Claim 1 wherein the adaptive filter is configured to operate by a Stochastic Gradient method.

20. The system of Claim 1 wherein the adaptive filter is configured to operate by a Gradient Descent method.

25       21. The system of Claim 1 wherein the adaptive filter is configured to operate by a Least Squares method.

22. The system of Claim 1 wherein the adaptive filter is a Finite Impulse Response filter.

30       23. The system of Claim 1 wherein the adaptive filter is an Infinite Impulse Response filter.

24. The system of Claim 1 wherein the adaptive filter is configured to operate by a neural network adaption method.

35       25. A method of suppressing ambient signals from a signal containing radiated emissions of an electronic

device with a first receiver, a second receiver and an adaptive filter, the method comprising the steps of:

- a) detecting the ambient signals and the radiated emissions with the first receiver;
- 5        b) demodulating and digitizing the ambient signals and the radiated emissions with the first receiver;
- c) detecting primarily the ambient signals with the second receiver;
- 10       d) demodulating and digitizing the ambient signals with the second receiver;
- e) suppressing the ambient signals correlated between the first receiver and the second receiver with the adaptive filter.

15       26. The method of Claim 25 wherein the adaptive filter is implemented on a computer and step (e) comprises suppressing the ambient signals with the adaptive filter of the computer.

20       27. The method of Claim 25 wherein at least some of the ambient signals have multiple paths, and step (e) comprises suppressing the ambient signals having multiple paths.

25       28. The method of Claim 25 wherein step (e) comprises suppressing the ambient signals using a Gradient Descent method with the adaptive filter.

29. The method of Claim 25 wherein step (e) comprises suppressing the ambient signals using a Stochastic Gradient method with the adaptive filter.

30       30. The method of Claim 25 wherein step (e) comprises suppressing the ambient signals using a Least Squares method with the adaptive filter.

31. The method of Claim 25 wherein step (e) comprises suppressing the ambient signals with a Finite Impulse Response filter.

35       32. The method of Claim 25 wherein step (e) comprises

suppressing the ambient signals with an Infinite Impulse Response filter.

33. The method of Claim 25 wherein step (e) comprises suppressing the ambient signals with an adaptive filter  
5 configured as a neural network.

34. The method of Claim 25 further comprising a first sensor in electrical communication with the first receiver and a second sensor in electrical communication with the second receiver, wherein prior to step (a), the method  
10 further comprises positioning the first sensor to detect the ambient signals and the radiated emissions, and positioning the second sensor to detect primarily the ambient signals.

35. The method of Claim 25 further comprising the  
15 step of synchronizing the first and second receivers prior to step (a).

36. The method of Claim 35 wherein the first and second receivers are synchronized via a common clock.

37. The method of Claim 36 wherein the first and  
20 second receivers are synchronized via an external RF reference signal.

38. A system for suppressing a first set of signals from a signal containing both the first set of signals and a second set of signals, the system comprising:

25 a first receiver operative to receive both the first and second sets of signals, the first receiver being operative to demodulate and digitize the first and second sets of signals;

a second receiver operative to receive primarily  
30 the second set of signals, the second receiver being time and frequency synchronized to the first receiver and operative to demodulate and digitize the second set of signals;

a central computer in electrical communication  
35 with the first and second receivers, the central





ABSTRACT OF THE DISCLOSURE

A system for suppressing ambient signals from a signal containing radiated emissions of a electronic device and ambient signals. The system comprises a first receiver  
5 operative to receive the ambient signals and the radiated emissions from the electronic device. The first receiver is operative to demodulate and digitize the ambient signals and the radiated emissions. The system further comprises a second receiver operative to receive primarily the  
10 ambient signals wherein the second receiver is time and frequency synchronized to the first receiver and operative to demodulate and digitize the ambient signals. A central computer is in electrical communication with the first and second receivers and is operative to store and process the  
15 ambient signals and the radiated emissions from respective ones of the first and second receivers. Accordingly, the central computer is configured as an adaptive filter operative to suppress the ambient signals correlated between the first and second receivers. Therefore, the  
20 radiated emissions from the electronic device are extracted thereby.

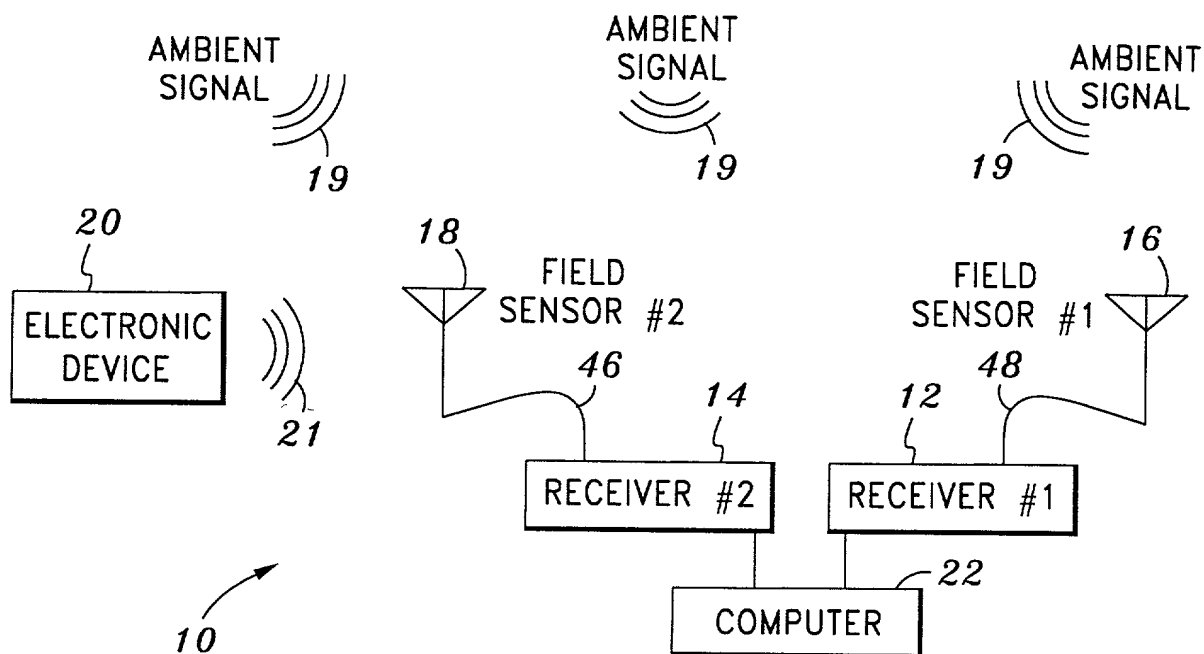


FIG. 1

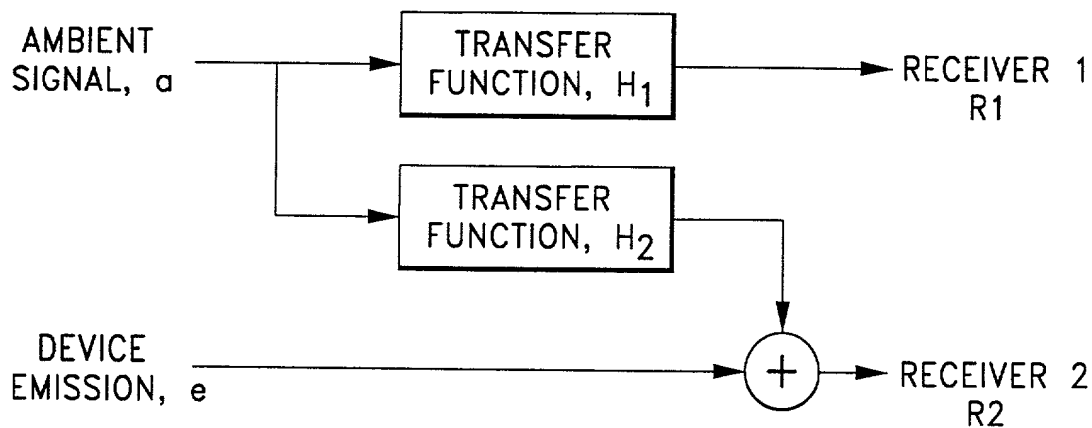


FIG. 2

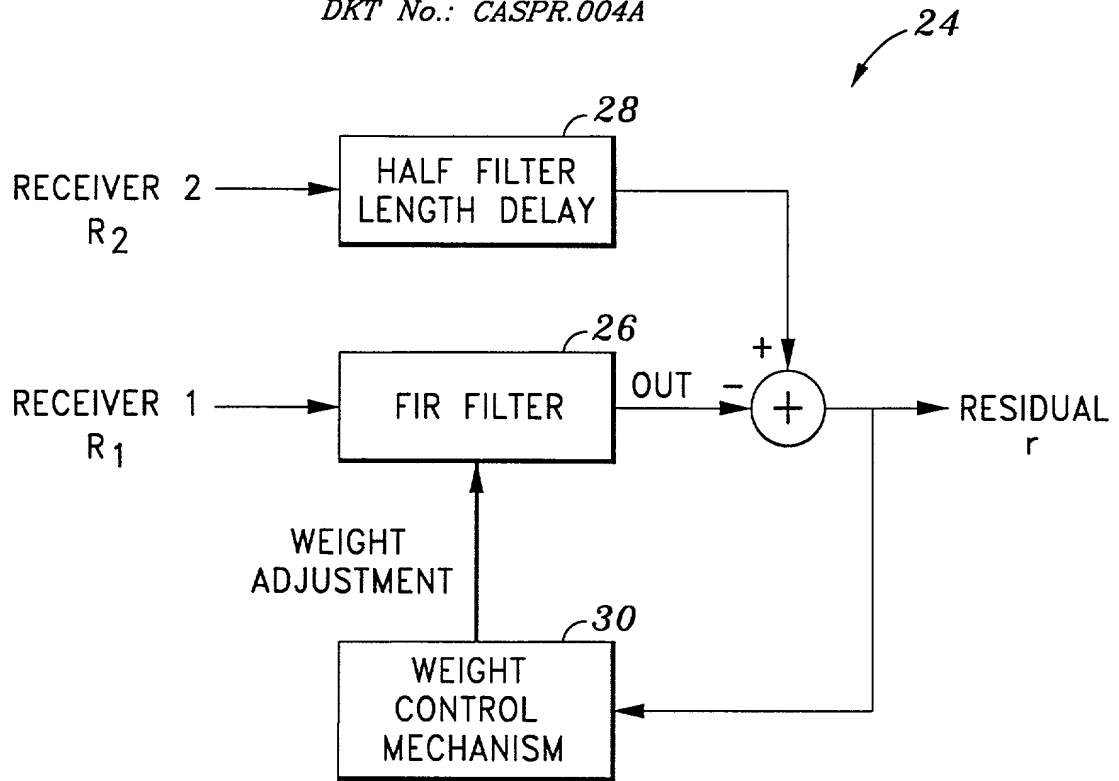


FIG. 3

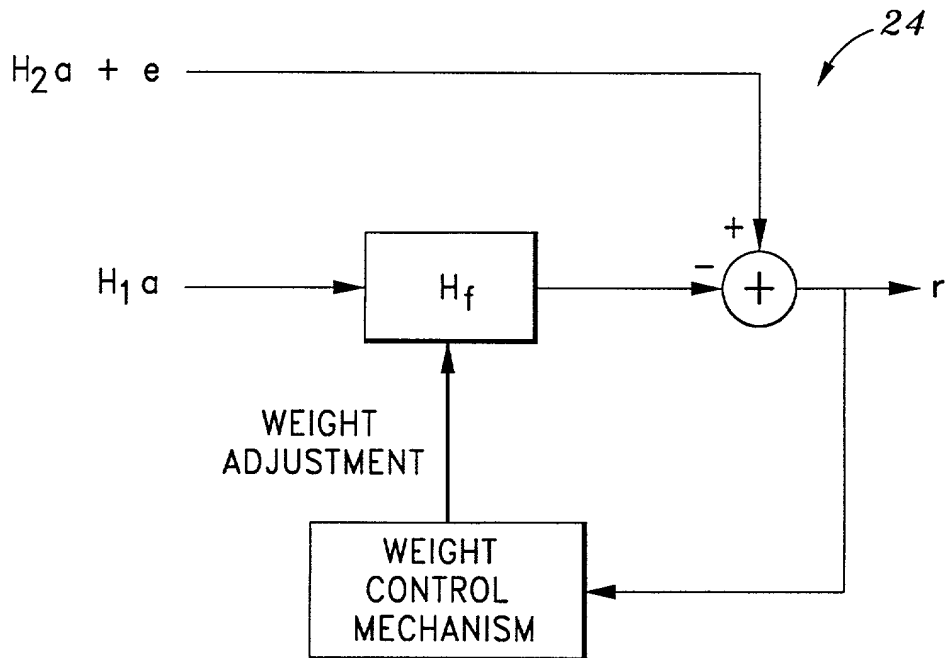


FIG. 4

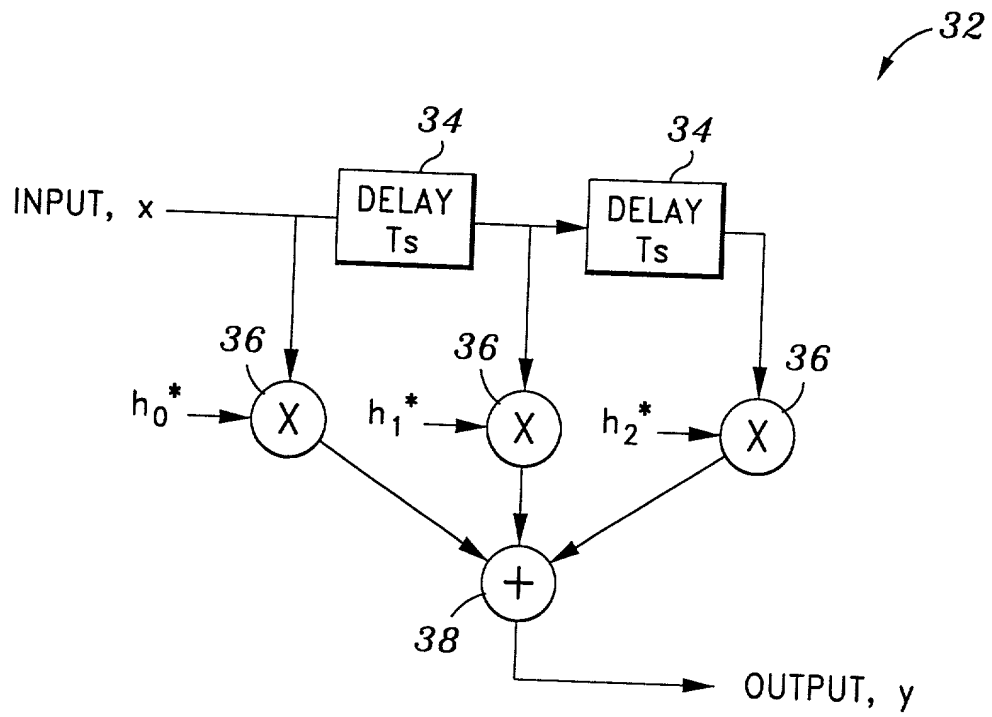


FIG. 5

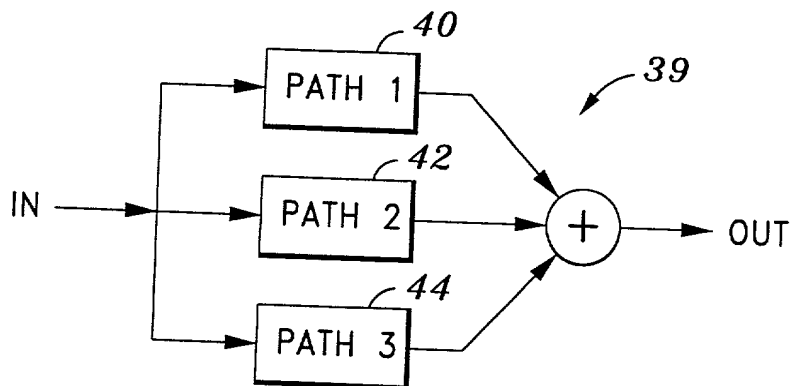


FIG. 6

Practitioner's Docket No. CASPR-004A**PATENT****COMBINED DECLARATION AND POWER OF ATTORNEY**(ORIGINAL, DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENTAL, DIVISIONAL,  
CONTINUATION, OR C-I-P)

As a below named inventor, I hereby declare that:

**TYPE OF DECLARATION**

This declaration is of the following type:

*(check one applicable item below)*

- ☒ original.
- ☐ design.
- ☐ supplemental.

NOTE: If the declaration is for an International Application being filed as a divisional, continuation or continuation-in-part application, do not check next item; check appropriate one of last three items.

- ☐ national stage of PCT.

NOTE: If one of the following 3 items apply, then complete and also attach ADDED PAGES FOR DIVISIONAL, CONTINUATION OR C-I-P.

NOTE: See 37 C.F.R. § 1.63(d) (continued prosecution application) for use of a prior nonprovisional application declaration in the continuation or divisional application being filed on behalf of the same or fewer of the inventors named in the prior application.

- ☐ divisional.
- ☐ continuation.

NOTE: Where an application discloses and claims subject matter not disclosed in the prior application, or a continuation or divisional application names an inventor not named in the prior application, a continuation-in-part application must be filed under 37 C.F.R. § 1.53(b) (application filing requirements — nonprovisional application).

- ☐ continuation-in-part (C-I-P).

**INVENTORSHIP IDENTIFICATION**

**WARNING:** If the inventors are each not the inventors of all the claims, an explanation of the facts, including the ownership of all the claims at the time the last claimed invention was made, should be submitted.

My residence, post office address and citizenship are as stated below, next to my name. I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter that is claimed, and for which a patent is sought on the invention entitled:

**TITLE OF INVENTION**

SYSTEM AND METHOD FOR MEASURING RF RADIATED EMISSIONS IN THE  
PRESENCE OF STRONG AMBIENT SIGNALS

## SPECIFICATION IDENTIFICATION

the specification of which:

(complete (a), (b), or (c))

(a) ☒ is attached hereto.

NOTE: "The following combinations of information supplied in an oath or declaration filed on the application filing date with a specification are acceptable as minimums for identifying a specification and compliance with any one of the items below will be accepted as complying with the identification requirement of 37 CFR 1.63:

"(1) name of inventor(s), and reference to an attached specification which is both attached to the oath or declaration at the time of execution and submitted with the oath or declaration on filing;

"(2) name of inventor(s), and attorney docket number which was on the specification as filed;  
or

"(3) name of inventor(s), and title which was on the specification as filed."

Notice of July 13, 1995 (1177 O.G. 60).

(b) ☐ was filed on \_\_\_\_\_, as ☐ Serial No. 0 / \_\_\_\_\_  
or ☐ \_\_\_\_\_  
and was amended on \_\_\_\_\_ (if applicable).

NOTE: Amendments filed after the original papers are deposited with the PTO that contain new matter are not accorded a filing date by being referred to in the declaration. Accordingly, the amendments involved are those filed with the application papers or, in the case of a supplemental declaration, are those amendments claiming matter not encompassed in the original statement of invention or claims. See 37 CFR 1.67.

NOTE: "The following combinations of information supplied in an oath or declaration filed after the filing date are acceptable as minimums for identifying a specification and compliance with any one of the items below will be accepted as complying with the identification requirement of 37 CFR 1.63:

"(1) name of inventor(s), and application number (consisting of the series code and the serial number; e.g., 08/123,456);

"(2) name of inventor(s), serial number and filing date;

"(3) name of inventor(s) and attorney docket number which was on the specification as filed;

"(4) name of inventor(s), title which was on the specification as filed and filing date;

"(5) name of inventor(s), title which was on the specification as filed and reference to an attached specification which is both attached to the oath or declaration at the time of execution and submitted with the oath or declaration; or

"(6) name of inventor(s), title which was on the specification as filed and accompanied by a cover letter accurately identifying the application for which it was intended by either the application number (consisting of the series code and the serial number; e.g., 08/123,456), or serial number and filing date. Absent any statement(s) to the contrary, it will be presumed that the application filed in the PTO is the application which the inventor(s) executed by signing the oath or declaration."

Notice of July 13, 1995 (1177 O.G. 60), M.P.E.P. § 601.01(a), 6th ed., rev. 3.

(c) ☐ was described and claimed in PCT International Application No. \_\_\_\_\_, filed on \_\_\_\_\_ and as amended under PCT Article 19 on \_\_\_\_\_ (if any).

(Declaration and Power of Attorney [1-1]—page 2 of 7)

**SUPPLEMENTAL DECLARATION (37 C.F.R. § 1.67(b))**

*(complete the following where a supplemental declaration is being submitted)*

- ☐ I hereby declare that the subject matter of the
- ☐ attached amendment
  - ☐ amendment filed on \_\_\_\_\_

was part of my/our invention and was invented before the filing date of the original application, above-identified, for such invention.

**ACKNOWLEDGEMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR**

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information, which is material to patentability as defined in 37, Code of Federal Regulations, § 1.56,

*(also check the following items, if desired)*

- ☒ and which is material to the examination of this application, namely, information where there is a substantial likelihood that a reasonable Examiner would consider it important in deciding whether to allow the application to issue as a patent, and
- ☐ in compliance with this duty, there is attached an information disclosure statement, in accordance with 37 CFR 1.98.

**PRIORITY CLAIM (35 U.S.C. §§ 119(a)-(d))**

**NOTE:** "The claim to priority need be in no special form and may be made by the attorney or agent if the foreign application is referred to in the oath or declaration as required by § 1.63. The claim for priority and the certified copy of the foreign application specified in 35 U.S.C. 119(b) must be filed in the case of an interference (§ 1.630), when necessary to overcome the date of a reference relied upon by the examiner, when specifically required by the examiner, and in all other situations, before the patent is granted. If the claim for priority or the certified copy of the foreign application is filed after the date the issue fee is paid, it must be accompanied by a petition requesting entry and by the fee set forth in § 1.17(f). If the certified copy is not in the English language, a translation need not be filed except in the case of interference; or when necessary to overcome the date of a reference relied upon by the examiner; or when specifically required by the examiner, in which event an English language translation must be filed together with a statement that the translation of the certified copy is accurate." 37 C.F.R. § 1.55(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §§ 119(a)-(d) of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

*(complete (d) or (e))*

- (d) ☒ no such applications have been filed.
- (e) ☐ such applications have been filed as follows.

**NOTE:** Where item (c) is entered above and the International Application which designated the U.S. itself claimed priority check item (e), enter the details below and make the priority claim.

**PRIOR FOREIGN/PCT APPLICATION(S) FILED WITHIN 12 MONTHS  
(6 MONTHS FOR DESIGN) PRIOR TO THIS APPLICATION  
AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. § 119(a)-(d)**

COUNTRY (OR INDICATE IF PCT)	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 37 USC 119
			<input type="checkbox"/> YES    NO <input type="checkbox"/>
			<input type="checkbox"/> YES    NO <input type="checkbox"/>
			<input type="checkbox"/> YES    NO <input type="checkbox"/>
			<input type="checkbox"/> YES    NO <input type="checkbox"/>
			<input type="checkbox"/> YES    NO <input type="checkbox"/>

**CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S)**  
(34 U.S.C. § 119(e))

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:

**PROVISIONAL APPLICATION NUMBER**

**FILING DATE**

60 / 119,157

02/08/99

/

/

**CLAIM FOR BENEFIT OF EARLIER US/PCT APPLICATION(S)**  
**UNDER 35 U.S.C. 120**

- ☐ The claim for the benefit of any such applications are set forth in the attached ADDED PAGES TO COMBINED DECLARATION AND POWER OF ATTORNEY FOR DIVISIONAL, CONTINUATION OR CONTINUATION-IN-PART (C-I-P) APPLICATION.

(Declaration and Power of Attorney [1-1]—page 4 of 7)



**ALL FOREIGN APPLICATION(S), IF ANY, FILED MORE THAN 12 MONTHS  
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION**

NOTE: If the application filed more than 12 months from the filing date of this application is a PCT filing forming the basis for this application entering the United States as (1) the national stage, or (2) a continuation, divisional, or continuation-in-part, then also complete ADDED PAGES TO COMBINED DECLARATION AND POWER OF ATTORNEY FOR DIVISIONAL, CONTINUATION OR C-I-P APPLICATION for benefit of the prior U.S. or PCT application(s) under 35 U.S.C. § 120.

**POWER OF ATTORNEY**

I hereby appoint the following practitioner(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

*(list name and registration number)*  
 Kit M. Stetina, Reg. No. 29,445; Bruce B. Brunda, Reg. No. 28,497;  
 Mark B. Garred, Reg. No. 34,823; William J. Brucker, Reg. No. 35,462;  
 Matthew A. Newboles, Reg. No. 36,224; Thomas C. Naber, Reg. No. 26,777;  
 Eric L. Tanezaki, Reg. No. 40,196; Eric K. Proul, Reg. No. 45,025

*(check the following item, if applicable)*

- ☒ I hereby appoint the practitioner(s) associated with the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.
- ☐ Attached, as part of this declaration and power of attorney, is the authorization of the above-named practitioner(s) to accept and follow instructions from my representative(s).

SEND CORRESPONDENCE TO

DIRECT TELEPHONE CALLS TO:  
*(Name and telephone number)*

☒ Address  
 Atty: Kit M. Stetina  
 STETINA BRUNDA GARRED & BRUCKER  
 24221 Calle de la Louisa, 4th Flr.  
 Laguna Hills, CA 92653-3642

Kit M. Stetina  
 Atty: #29,445  
 (949) 855-1246

☒ Customer Number 007663

## DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

## SIGNATURE(S)

NOTE: Carefully indicate the family (or last) name, as it should appear on the filing receipt and all other documents.

NOTE: Each inventor must be identified by full name, including the family name, and at least one given name without abbreviation together with any other given name or initial, and by his/her residence, post office address and country of citizenship. 37 CFR § 1.63(a)(3).

NOTE: Inventors may execute separate declarations/oaths provided each declaration/oath sets forth all the inventors. Section 1.63(a)(3) requires that a declaration/oath, inter alia, identify each inventor and prohibits the execution of separate declarations/oaths which each sets forth only the name of the executing inventor. 62 Fed. Reg. 53,131, 53,142, October 10, 1997.

### Full name of sole or first inventor

Michael

A.

Marino, Jr.

(GIVEN NAME)

(MIDDLE INITIAL OR NAME)

FAMILY (OR LAST NAME)

Inventor's signature

Date

Feb 2, 2000

Country of Citizenship

U.S.A.

Residence

25341 La Estrada Dr., Laguna Niguel, CA 92677

Post Office Address

25341 La Estrada Dr., Laguna Niguel, CA 92677

### Full name of second joint inventor, if any

(GIVEN NAME)

(MIDDLE INITIAL OR NAME)

FAMILY (OR LAST NAME)

Inventor's signature

Date

Country of Citizenship

Residence

Post Office Address

### Full name of third joint inventor, if any

(GIVEN NAME)

(MIDDLE INITIAL OR NAME)

FAMILY (OR LAST NAME)

Inventor's signature

Date

Country of Citizenship

Residence

Post Office Address

(Declaration and Power of Attorney [1-1]—page 6 of 7)

(check proper box(es) for any of the following added page(s)  
that form a part of this declaration)

- ☐ **Signature** for fourth and subsequent joint inventors. *Number of pages added* \_\_\_\_\_

\* \* \*

- ☐ **Signature** by administrator(trix), executor(trix) or legal representative for deceased or incapacitated inventor. *Number of pages added* \_\_\_\_\_

\* \* \*

- ☐ **Signature** for inventor who refuses to sign or cannot be reached by person authorized under 37 CFR 1.47. *Number of pages added* \_\_\_\_\_

\* \* \*

- ☐ Added page for **signature** by one joint inventor on behalf of deceased inventor(s) where legal representative cannot be appointed in time. (37 CFR 1.47)

\* \* \*

- ☐ Added pages to combined declaration and power of attorney for divisional, continuation, or continuation-in-part (C-I-P) application.

☐ Number of pages added \_\_\_\_\_

\* \* \*

- ☐ Authorization of practitioner(s) to accept and follow instructions from representative.

\* \* \*

(if no further pages form a part of this Declaration,  
then end this Declaration with this page and check the following item)

- ☒ This declaration ends with this page.